



UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 21 2002

1048

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/025,225	12/19/2001	Xuanchuan Yu	LEX-0285-USA

CONFIRMATION NO. 5106

 24231
 LEXICON GENETICS INCORPORATED
 8800 TECHNOLOGY FOREST PLACE
 THE WOODLANDS, TX 77381-1160

FORMALITIES LETTER



OC000000007655002

Date Mailed: 03/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$126.
 - \$126 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 561.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center


Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/22/2002 BABRAHA1 00000134 500892 10025225

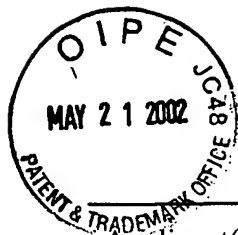
01 FC:201	370.00 CH
02 FC:205	65.00 CH
03 FC:202	126.00 CH

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/025,225	
	Filing Date	12/19/01	
	First Named Inventor	Yu	
	Group Art Unit	1643	
	Examiner Name	To Be Assigned	
Total Number of Pages in This Submission	8	Attorney Docket Number	LEX-0285-USA

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request of Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communications to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): return postcard Executed Declaration and Power of Attorney
Remarks		 24231 PATENT, TRADEMARK OFFICE

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Lance K. Ishimoto, Reg. No. 41,866 Lexicon Genetics Incorporated
Signature	<i>Lance K. Ishimoto</i> <i>Peter G. Seferian</i> Reg. No. 40,162
Date	May 17, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, P.O. Box 2327, Arlington, VA 22202 on this date:			
Typed or printed name		Nancy Stacey	
Signature	<i>Nancy Stacey</i>	Date	May 17, 2002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yu et al.

Application No.: 10/025,225

Filed: 12/19/01

Group Art Unit: 1643

Title: Novel Human Protocadherin Proteins and
Polynucleotides Encoding the Same

Atty Docket No.: LEX-0285-USA

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on March 18, 2002, Applicants submit the following documents to complete the filing requirements of the above-identified application:

1. Executed Declaration and Power of Attorney;
2. Return copy of Notice to File Missing Parts of Application - Filing Date Granted

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Lexicon Genetics Incorporated Deposit Account No. 50-0892:

- | | |
|---|----------|
| 1. Statutory basic filing fee | \$370.00 |
| 2. Surcharge Fee for filing declaration on a date
later than the filing date of the application per
37 CFR 1.492(e) | \$ 65.00 |
| 3. Three additional independent claims over initial three (3) independent claims
\$42.00 x 3 claims | \$126.00 |

TOTAL \$ 561.00

4. The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Deposit Account No. 50-0892.

The documents submitted herewith complete the filing of the above patent application. This form is being submitted in duplicate.

Respectfully submitted,

May 17, 2002
Date

Lance K. Ishimoto by [Signature] *Atty. Stefan*
Lance K. Ishimoto Reg. No. 41,866

LEXICON GENETICS INCORPORATED
Telephone No.: (281) 863-3333



24231

PATENT TRADEMARK OFFICE